



Local Government Performance Assessment

Katakwi District

(Vote Code: 522)

Assessment	Scores
Accountability Requirements	83%
Crosscutting Performance Measures	77%
Educational Performance Measures	96%
Health Performance Measures	94%
Water Performance Measures	85%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>The LG submitted an Annual Performance Contract on 12th April, 2019 (done on line) and received by MoFPED on same date.</p> <p>The submission was in compliance with the revised timeframe of by 31st August, 2019 for this Local Government Assessment.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The LG submitted on line a Budget for the forthcoming FY (20 19/2020) on 23rd April 2019 under Ref and was received by MoFPED on 23rd April, 2019.</p> <p>The Budget contained a LG Procurement Plan for FY 2019/2020</p> <p>This submission was in compliance with the revised date of submission of 31st August, 2019 for this Local Government Assessment.</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			
<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The LG submitted on line the Annual Performance Report for the previous FY 2018/2019 on 31st July, 2019 and Acknowledged receipt by MoFPED on 19th August, 2019.</p>	Yes

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). If LG submitted late or did not submit at all, then it is not compliant. 	<p>Submission of the quarterly budget performance reports during FY 2018/2019 was done as follows:</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Submission Date</th> <th>Acknowledgement Reference Date</th> </tr> </thead> <tbody> <tr> <td>Quarter 01</td> <td>31st October, 2018</td> <td>Not referenced 21st February, 2019</td> </tr> <tr> <td>Quarter 02</td> <td>29th January, 2019</td> <td>Not referenced 21st February, 2019</td> </tr> <tr> <td>Quarter 03</td> <td>11th May, 2019</td> <td>Not referenced 11th May, 2019</td> </tr> <tr> <td>Quarter 04</td> <td>31st July, 2019</td> <td>Not referenced 19th August, 2019</td> </tr> </tbody> </table> <p>All the four quarterly budget performance reports for FY 2018/19 were submitted to MoFPED by 31st July 2019 as required by PFMA Act, 2015.</p>	Quarter	Submission Date	Acknowledgement Reference Date	Quarter 01	31st October, 2018	Not referenced 21st February, 2019	Quarter 02	29th January, 2019	Not referenced 21st February, 2019	Quarter 03	11th May, 2019	Not referenced 11th May, 2019	Quarter 04	31st July, 2019	Not referenced 19th August, 2019	Yes
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Audit																		
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> If LG submitted a 'Response' (and provide details), then it is compliant If LG did not submit a 'response', then it is non-compliant If there is a response for all –LG is compliant If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG provided information to the PS/ST on the status of implementation of the Internal Auditor General or Auditor General's findings for the previous financial year 2018/2019 vide two letters: one on the Internal Auditor General's findings, the letter was written on 20th February, 2019 and received at the MoFPED on 18th March, 2019. One on Auditor General's findings was written on 19th March, 2019 and received at the MoFPED on 25th March, 2019.</p> <p>Noted that in both cases receipt of the information in the MoFPED was after end of February, 2019.</p> <p>Internal Auditor General FY 2018/2019</p> <table border="1"> <thead> <tr> <th>Total number of queries raised</th> <th>Total number of queries cleared</th> <th>Total number of queries pending</th> </tr> </thead> <tbody> <tr> <td>8</td> <td></td> <td></td> </tr> <tr> <td>7</td> <td></td> <td>1</td> </tr> </tbody> </table> <p>The only pending query was on irregular award of Council Chambers Contract. The LGPAC in their meeting held on 25th November, 2018 under Minute 5.7.1 recommended further investigations to be carried out but had not been implemented.</p> <p>(A) Office of the Auditor General FY 2017/2018</p> <table border="1"> <thead> <tr> <th>Total number of queries raised</th> <th>Total number of queries cleared</th> <th>Total number of queries pending</th> </tr> </thead> <tbody> <tr> <td>17</td> <td>17</td> <td>Nil</td> </tr> </tbody> </table>	Total number of queries raised	Total number of queries cleared	Total number of queries pending	8			7		1	Total number of queries raised	Total number of queries cleared	Total number of queries pending	17	17	Nil	No
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<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The Auditor General's Opinion on the Financial statements of Katakwi DLG for the Financial Year ended 30th June, 2019 was Unqualified.</p>	Yes															

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>File number : CR/214/44</p> <p>Name Designation and Appointment date</p> <p>Alia Seraphine Chairperson 28/08/2017</p> <p>Ekwith Emmanuel Member 28/08/2017</p> <p>Amali Samuel Member 28/08/2017</p> <p>Echaetu Andrew Member 28/08/2017</p> <p>Aleli Martin Secretary 28/08/2017</p> <p>Okure Joseph Member 28/08/2017</p> <p>Timo Catherine Member 28/08/2017</p> <p>AtimAngella Member 28/08/2017</p> <p>Apio Lydia Member 28/08/2017</p>	1
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>There was no evidence that the DLG submitted minutes of the Physical Planning Committee to MoLHUD as required by the guidelines.</p>	0
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The DLG did not have a Physical Development Plan.</p>	0

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Action area plan prepared for the previous FY: score 1 or else 0 	<p>There was no action plan for financial year 2018/2019</p>	<p>0</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>Priorities in AWP for FY 2019/2020:</p> <ol style="list-style-type: none"> 1. HR&ADMIN: Payment of Salaries, Arrears, Staff Recruitment. 2.WATER: Rehabilitation, Drilling of Borehole Construction of the pipe water scheme. 3. HEALTH: construction of Radiology unit, private ward, theatre in Toroma HCIV. Sinking of 5 stance pit latrine 4.PRODUCTION: Post Harvest Handling, Value Addition <p>The priorities were discussed in the Budget Conference held on: 14th/11/2018.</p>	<p>2</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>HEALTH</p> <p>Construction of a Radiology unit at katakwi hospital</p> <p>AWP pg 55</p> <p>Construction of a 6.2km Administration block</p> <p>pg 64 of DDPII.</p> <p>EDUCATION</p> <p>Rehabilitation of classroom</p> <p>Drilling and casting of boreholes</p> <p>AWP pg 65</p> <p>Development Plan</p> <p>Pg 226</p> <p>5 year DEVELOPMENT PLAN</p> <p>Pg 226</p> <p>To improve a school infrastructure</p> <p>Construction of classroom</p> <p>Renovation of classroom</p> <p>Pit latrine construction</p> <p>Pg 229</p> <p>AWP pg 65</p> <p>WATER</p> <p>Provision of water and sanitation facilities</p> <p>Deep borehole drilling</p> <p>Construction of a pipe water scheme</p> <p>Pg 91 Of AWP</p> <p>HEALTH</p> <p>Renovation of health facilities</p> <p>AWP pg 91</p>	
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>There was evidence that the DLG developed and discussed project profiles for all investments in the AWP for instance;</p> <p>The TPC meeting held on 27th/08/2019 under Minute 06/PPC/27/08/2019 discussed project profiles that included for example,</p> <p>Construction of a pit latrine at Ngariam S/C (October 2018-February 2019)</p> <p>Construction of council chambers phase V (August 2018-February 2019)</p>	2
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender- disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>Annual Statistical Abstract was developed and discussed during TPC meeting held on:12th/09/2019 under Minute number 05/TPC/12/09/2019</p> <p>The statistical abstract for FY 2019/20 was under preparation and at a level of departmental discussion</p>	1
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>All Infrastructure projects implemented by the LG in the previous FY 2018/2019 were derived from the Annual Work Plan and Budget approved by the LG Council under Minute07/29th/05/CL/2019of 29th –MAY-2019</p> <p>All Infrastructure project Page in AWP/B</p> <p>Construction of a wash slab at Katakwi general hospital AWP pg60</p> <p>Construction of a Kitchen Shade at Katakwi hospital AWP pg60</p> <p>Construction of 10 stance of pit latrine at Akwooro Primary school AWP pg 65</p> <p>Classrooms construction and renovation AWP pg 65</p>	2
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>Implemented /Completed projects Completed as per work plan (YES/NO)</p> <p>1 Completed YES</p> <p>2 Completed YES</p> <p>3 Completed YES</p> <p>4 Completed YES</p> <p>Completed YES</p> <p>Total (23) 3,597,733,089</p> <p>Percentage= YES/TOTAL X100</p> <p>=100%</p>	4

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>Implemented /Completed projects Budget Actual Expenditure as at 30th June 2019</p> <p>1 Drill and build boreholes 224,000,000 224,000,000</p> <p>2 Toroma HCIII TO HCIV 500,000,000 470 (94% RETENTION)</p> <p>3 Latrine construction and Rehabilitation 32,000,000 32,000,000</p> <p>4 Seed school 1.75bn 600,000,000</p> <p>Percentage = Total Actual Expenditure/Total Budget X 100</p> <p>Budget is locked to the IMFS and all expenditure is within the budget</p> <p>The budget for the seed school ; 1.75bn is stretched for 3 financial years</p> <p>Education changed their work plan according to a ministry directive</p> <p>Palam sub county as a form MOH resources were diverted to up grading HCII at Aliakamer</p>	2
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>List of assets and projects in need of maintenance for FY 2018/2019 was in place as per table below:</p> <p>Sector Asset/Project Cost of O&M</p> <p>Production: office block Office block 163,000,000</p> <p>Works Stance VIP Pit latrines 8,000,000</p> <p>Works Renovation of mechanical store 70,000,000</p> <p>Works Office Accommodation 50,000,000</p> <p>Finance Office block renovation 65,000,000</p> <p>Asset/Project O&M Budget Actual Expenditure</p> <p>ADMINISTRATION: Construction of council chambers</p> <p>vehicles procured</p> <p>other asset procured</p> <p>4,000,000</p> <p>12,000,000 5,786,248</p> <p>23,434,575</p> <p>4,334,100</p> <p>FINANCE</p> <p>Vehicle procured</p> <p>other asset procured</p> <p>4,000,000</p> <p>1,900,000</p> <p>1,600,000</p> <p>COUNCIL</p> <p>Vehicle procured 13,282,000 9,322,565</p> <p>PRODUCTION</p> <p>Vehicle procured</p> <p>7,000,000</p>	0

7,859,162

HEALTH

Vehicles Procured

other asset procured

25,000,000

1,957,758

1,237,000

WORKS

Civil

Vehicle procured

other asset procured

10,000,000

21,545,000

41,300,000

13,150,000

1, 817,940

WATER

Vehicle procured

Civil

10,000,000

27,000,000

EDUCATION

Vehicle procured

14,900,000

NATURAL RESOURCE

Vehicle procured

5,000,000

5,800,000

COMMUNITY BASED SERVICES

Vehicle procured

2,000,000

1,098,400

PLANNING UNIT

Civil

Vehicle procured

Other assets procured

6,732,000

1,962,000

1,962,000

250, 000

Internal unit

	<p>Vehicle procured</p> <p>4,800,000</p> <p>942, 000</p> <p>Total 210,521,000 81,214,748</p> <p>Total O&M budget for FY 2018/19 = 210,521,000</p> <p>Total O&M expenditure for FY 2018/19= 81,214,748</p> <p>Edpenditure/Budget x 100= 38.5%</p> <p>Katakwi District LG had spent less than 80% on O&M Budget in the previous Financial Year 2018/19</p>	
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Human Resource Management		
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<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<p>• Evidence that the LG has filled all HoDs positions substantively: score 3</p>	<p>The staff structure and staff list dated 7 / 1 /2019 for the current FY, Show that all (9) positions for HODs were substantively filled as follows;</p> <p>District Health Officer, Dr Omekel chumar letter reference. C/D/107721, appointed on 21/9/2003.But not substantive. There need for the advert for the substantive doctor of which they have not.</p> <p>District Education Officer Atim Angela CR / D/10849 appointed on 3/10/2007 DSC min 147/KTW/07,</p> <p>District Community Development officer,Ms Tino Catherine appointed 1/6/2018 CR /D /11390 and DSC 148/2018.</p> <p>The District Commercial officer Mr ,Todi Patrick CR / D/10453 appointed on 1/8/1999 under DSC Min 1a/KTW/1999</p> <p>District production officer , Mr Ogom Ben Silver CR/D/10246 Appointed on 1/11/1988.</p> <p>District Natural Resource officer , Mr Aleli Martin , CR/D/10963 , appointed on 20/4/2019</p> <p>District planner Mr Olemo Mathew , , CR/D//10769 Appointed on 5th/10/2004</p> <p>And the deputy CAO Mr Nawoya Bruno CR/D/11418. Appointed on 1/7/2019.</p> <p>Chief Financial officer, Mr Eyomu Raymond, CR/D/10261, appointed on 1 /12/1997.</p> <p>Mr Oriongon Faustino the principle internal auditor , appointed on 1/1/2000,</p> <p>District Engineer Mr Echatu Andrew CR/D/10568. appointed on 08/8/2000</p>	<p>3</p>
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<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The HODs were appraised as per the guideline as follows;</p> <p>District Health Officer, Dr Omekel chumar CR /D/107721 was appraised on 08 /8/2019</p> <p>District Education Officer Atim Angela was appraised on 10/9/2019</p> <p>District Community Development officer, Mis Tino Catherine was appraised on 5/7/2019</p> <p>The District Commercial officer Mr , Todi Patrick was appraised on 19/8/19</p> <p>District production officer , Mr Ogom Ben was appraised on 14/8/19</p> <p>District Natural Resource officer, Mr Aleli Martin was appraised on 12/8/19.</p> <p>District planner Mr Olemo Mathew Was appraised on 12 /7/2019.</p> <p>Chief Financial officer, Mr Eyomu Raymond, was appraised on 7/10/2019.</p> <p>Mr Oriogon Faustino the principle internal auditor , was appraised on 2/7/2019</p> <p>District Engineer Mr Echatu Andrew was appraised on 08/9/2019</p>	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>There was evidence that 34 positions submitted by the CAO for recruitment were considered under Minute 147/2018 of the meeting held on 10/9/18 and were appointed on 24/10/2018.</p> <p>The positions submitted and considered by DSC included;</p> <p>Community development officers 5, senior education officer 4 positions Education assistant II 9 positions, Education officers 1 , Nursing assistant 01 , Deputy head teacher 06, Office assistant 02 , parish chief 05 , Head teacher 01.</p>	<p>2</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>The following positions , Health 16 positions , Education grade III 23 , parish chiefs 7 Community development 5 production and marketing 6 Finance officer 8 ;</p> <p>They were submitted for confirmation by the office of the CAO on 24/10/2018 on Min 148/2018 , and considered by the DSC in a meeting dated 10/1/2019, DSC Min ,148/2018</p>	1
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>There was no case for disciplinary action in the District during FY 2018/2019 and thsi gave in way of improvement</p>	1
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>Katakwi LG recruited on 10th September 2018 under DSC Minute, 148/2018 , did not access the salary payroll with in two month .Because there was no money to pay them with in that period .</p>	0
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Katakwi LG had one retired officer for the previous FY 2018/19 ,Charles okemu , IPPPS 168339</p> <p>Accessed on 15/10/2019 pension payroll with in the last two month. 2018/2019 .</p>	2
Revenue Mobilization			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% - 10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Total of OSR for FY 2017/2018 Shs. 243,449,882 (net of sale of assets i.e. Shs.243,454,882-5,000)</p> <p>Total of OSR for FY 2018/2019 Shs. 343,173,877 (net of sales of assets ie.Shs.357,173,877-14,000,000)</p> <p>There was an increase of Shs. 99,723,995</p> <p>Percentage 40.9%</p> <p>The fairly good performance was attributed to close supervision of revenue collectors where Internal Audit was instrumental.</p>	4

<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within <p>+/- 10 %: then score 2. If more than +/- 10 %: Score 0.</p>	<p>Total Local Revenue Planned/Budgeted (Original not Revised Budget) for FY 2018/2019 Shs.475,370,000 (Local revenue district budget component)</p> <p>Total Local Revenue collected during FY 2018/2019 Shs.357,173,877</p> <p>Performance 75.1% realized. The unrealized was therefore -24.9%</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Local Revenue collections subjected to sharing with LLGs Shs.60,910,000 (As noted on page 21 of the Draft Annual Financial Statements for FY 2018/19)</p> <p>Amount of local revenue remitted to LLGs Shs.33,064,298 (for each of the 10 LLGs in the DLG as per the schedule that was attached to the PVs)</p> <p>This was 54.2%. This was contrary to the provision in Section 85 (4) which requires a DLG to remit 65% if it collected the revenue.</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>OSR for FY 2017/18 was shs. 243,454,882 as per audited financial statement of the year.</p> <p>Total expenditure on council allowances and emoluments during FY 2018/2019 Shs29,849,978 (Code 211103 522030100-138201 Shs. 27,199,978 and Code 211103 522030700-138207 Shs. 2,650,000)</p> <p>This remuneration was 12.2% of the OSR for the previous FY. This was in compliance with Regulation 4 of First Schedule of the LGA, Cap 243 which sets a minimum of 20%.</p>	<p>2</p>
<p>Procurement and contract management</p>			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>The district had a position of Senior procurement officer in the structure but was not filled.</p> <p>The, position of Senior Procurement officer had been prioritised for recruitment under the approved recruitment plan for the district in FY 2019/20</p>	<p>0</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>All Evaluation Committee Reports of the were submitted to the Contracts Committee during FY 2018/2019 as required. Examples include:</p> <ol style="list-style-type: none"> 1. Evaluation Committee sat on 23rd October and submitted report to contracts committee on 13th November 2018. Project name: Construction of a 5 stance pit latrine at Atoroma P/S 2. Evaluation Committee sat on 13th September and submitted report to contracts committee on 25th September 2018. Project name: Feasibility study and design of Orungo corner pipe watr scheme 3. Evaluation Committee sat on 24th January 2019 and submitted report to contracts committee on 5th February 2019: Project name: Rehabilitation of boreholes 	<p>1</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>All Evaluation Committee Reports recommendations were considered by the Contracts Committee during FY 2018/2019 as required for instance:</p> <ol style="list-style-type: none"> 1. Evaluation Committee sat on 23rd October 2018 and recommended MS Omina enterprises to construct a 5 stance pit latrine at Atoroma Primary school. The contracts committee sat on 13th November 2018 under minute 05/10/2018/DCC and approved the recommendation of the EC to award the contract without deviations. 2. Evaluation Committee sat on 13th September 2018 and recommended Universal water consultants company to repair boreholes. The contracts committee on 25th September 2018 approved the sameproject under min 04/09/2018/DCC without deviations 3. Evaluation Committee sat on 24th January 2019 and recommended M/S Mastak investments LTD to rehabilitate boreholes. The contracts committee sat on 5th February 2019 under minute 06/01/2019/DCC and the recommendation was approved by contracts committee without any deviation 	<p>1</p>
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>There was evidence that the District Procurement Plan for FY 2019/2020 covered all infrastructure projects in the approved work plan and budget.</p> <p>Some of the projects in the work plan for FY 2019/2020 which were linked to the procurement plan included:</p> <ol style="list-style-type: none"> i) Rehabilitation of boreholes ii) Construction of a 5 stance pit latrine at Atoroma PS iii) Provision of borehole siting and drilling <p>All the above projects were evidenced by the assessment team on pages 2-5 of the procurement plan as well as in the approved work plan and budget.</p>	<p>2</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>There was evidence that bidding documents for all investments projects had been prepared and some projects were already underway.</p> <p>For example:</p> <p>KATA522/WRKS/19-20/00001 Drilling of 6 boreholes (Project location not specified), prepared on 1st July 2019</p> <ol style="list-style-type: none"> 1. KATA522/WRKS/19-20/00002 Construction of a 2 classroom block at Olela Primary school), prepared on 1st July 2019 2. KATA522/WRKS/19-20/00009 Construction of a 2 classroom block at Apeero Primary school)prepared on 1st July 2019 3. KATA522/WRKS/19-20/00022 Fencing of Katakwi Hospital,prepared on 1st July 2019 <p>A total of 18 bid documents had been prepared out the 22 planned investment projects for the current financial year representing 82%</p>	2
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>In FY 18/19, the district had 57 projects to procure. All projects had been procured as evidenced in the updated procurement register, Pages 1-5, prepared on 11th April 2019 and received and stamped by Eastern region PDU on 15th April 2019.</p> <p>Examples of projects in the contracts register include</p> <ol style="list-style-type: none"> 1. Low cost sealing of Alelesi-Omodo-Adere road, 1.3km at 420,808,481/ was on page 6 of the contracts register 2. Construction of council chambers, quotes sum was 200,000,000/ was on page 2 of the contracts register 3. Borehole drilling, casting and installation, worth 138,000,000/ was on page 3 of the contracts register <p>However the activity files were not provided. Therefore the LG scored zero.</p>	0
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>From the Contract's Register, the assessment team reviewed 3 projects below:</p> <ol style="list-style-type: none"> 1. Low cost sealing of Alelesi-Omodo-Adere road, 1.3km at 420,808,481. Publication of bid notice was on 1st January 2019, Daily Monitor Page 28 2. Construction of council chambers, quotes sum was 200,000,000/ publication of bid notice was on 28th June 2018, Page 31, Daily Monitor 3. Borehole drilling, casting and installation, worth 138,000,000/ was publicised in Daily monitor of 22nd June 2018. <p>However there was no evidence to prove that the LG had adhered to the Procurement thresholds.</p>	0

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	<p>There was only one project that had been completed as per completion certificate no: KATA522/WRKS/18-19/00026 in respect of Construction of a 4 stance pit latrine at Akoriokor P/S, worth 14,860,751/-. Completion certificate was dated 20th May 2019.</p> <p>Project no. KATA522/WRKS/18-19/00019 for Fencing of new council offices under contractor M/s Clabos Uganda Limited, was paid 100% (shs.35, 815,500/.) of the balance as per payment voucher for final Works but no completion certificate was issued. Only interim certificates had been issued. There was no Defect Liability Period considered for this infrastructure project..</p> <p>The other projects undertaken were still under defect liability period hence completion certificates had not been issued but interim certificated had been issued at each level of payment.</p>	<p>2</p>
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>One project under construction had a site boards (Construction of pit latrine at council office) The other ongoing project was Construction of a 2 classroom block at Olela Primary school was not visited due to impassable roads</p>	<p>0</p>
<p>Financial management</p>			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The DLG operated a computerised system to handle its bank reconciliations. The General Ledger Reconciliation Summary and TSA Reconciliation Reports for the period under review up to 31st September, 2019 were printed out for review by the Assessment Team. All were endorsed by the CFO and securely filled on a file.</p>	<p>4</p>

<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The DLG maintained a payment claim register for FY 2018/19 with the following features: Account No. of Payee, Payment Date and Payee Bank, Payee's Name and payee's Bank Branch, and Payment Amount.</p> <p>It showed the payment status of each supplier as per the sampled three transactions below from Water, Health and Works sectors:</p> <p>Health</p> <p>M/s Jewa Logistics Company Limited requested for upgrading Aliakamer HC II to HC III for Shs.252,111,453 on 21st May, 2019 and was paid on 29th May, 2019 (as was noted from the bank statement for the period) i.e after 8 days.</p> <p>Water</p> <p>M/s Icon Projects Ltd made a request for payment for drilling 5 boreholes in different locations for Shs.84,222,665 on 6th February, 2019 and was paid on 27th March, 2019, against PV No.23516973 i.e after 49 days.</p> <p>Works</p> <p>M/s Kide Builders and Engineers Ltd., Katakwi requested for the construction of Council Chambers Phases V Certificate 1 of Shs.118,373,472 on 29th April, 2019 and was paid on 6th June, 2019 against PV No.235169974 i.e 38 days.</p> <p>It may be noted from the samples above that payment of bills was made within a period not exceeding two months.</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The DLG had a substantive Principal Internal Auditor heading the section. He was Mr. Oriongan Faustine appointed by DSC on promotion on 5th April, 2017 Ref. CR/156/1 under Minute No.45/2017. He holds IPPS No.826738 of Katakwi District Local Government.</p>	<p>1</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>• LG has produced all quarterly internal audit reports for the previous FY: score 2.</p>	<p>Quarterly Internal Audit Reports for FY 2018/2019:</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of report</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>30th October, 2018</td> <td>Not referenced</td> </tr> <tr> <td>Quarter 2</td> <td>28th January, 2019</td> <td>CR/252/1</td> </tr> <tr> <td>Quarter 3</td> <td>29th April, 2019</td> <td>CR/252/1</td> </tr> <tr> <td>Quarter 4</td> <td>30th July, 2019</td> <td>CR/252/1</td> </tr> </tbody> </table> <p>All reports were addressed to CAO and copied to:</p> <ul style="list-style-type: none"> • District Chairman • RDC • Internal Auditor General, MoFPED • OAG • PS, MoLG • Chairperson, DPAC • CFO 	Quarter	Date of report	Reference	Quarter 1	30th October, 2018	Not referenced	Quarter 2	28th January, 2019	CR/252/1	Quarter 3	29th April, 2019	CR/252/1	Quarter 4	30th July, 2019	CR/252/1	<p>2</p>						
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<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>CAO provided information to Council & LG PAC on Internal Audit Queries raised during FY 2018/2019 and revealed the following:</p> <table border="1"> <thead> <tr> <th>Nature and total number of queries raised</th> <th>Nature and total number of queries cleared</th> <th>Nature and total number of queries pending</th> </tr> </thead> <tbody> <tr> <td>15</td> <td></td> <td></td> </tr> <tr> <td>14</td> <td></td> <td>1</td> </tr> <tr> <td>14</td> <td></td> <td>13</td> </tr> <tr> <td></td> <td>1</td> <td></td> </tr> <tr> <td>8</td> <td>Nil</td> <td>8</td> </tr> <tr> <td>19</td> <td>Nil</td> <td>19</td> </tr> </tbody> </table> <p>The only pending issues in quarter 1 was about the failure by Katakwi S/C to account for shs.5,306,363 advanced for council activities. The other issue raised in quarter 2 but still standing was about construction of a toilet at Ocorimongin market awarded at shs.3,3560,000 against a budgetary provision of shs. 30,000,000 without following the procedures.</p>	Nature and total number of queries raised	Nature and total number of queries cleared	Nature and total number of queries pending	15			14		1	14		13		1		8	Nil	8	19	Nil	19	<p>2</p>
Nature and total number of queries raised	Nature and total number of queries cleared	Nature and total number of queries pending																						
15																								
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	1																							
8	Nil	8																						
19	Nil	19																						

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>Action by the Accounting Officer, LG PAC on Internal Audit Queries raised during FY 2018/2019:</p> <table border="0"> <thead> <tr> <th>Quarter</th> <th>Date of submission</th> <th>Reference for report review</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>15th January, 2019</td> <td></td> </tr> <tr> <td>Quarter 2</td> <td>25th February, 2019</td> <td></td> </tr> <tr> <td>Quarter 3</td> <td>20th June, 2019</td> <td></td> </tr> <tr> <td>Quarter 4</td> <td>15th August, 2019</td> <td></td> </tr> </tbody> </table> <p>Internal audit reports for the previous FY were submitted for review by LGPAC. Report for quarter 1 was discussed by LGPAC on 29th March, 2019 under Min.4/3/2019. The reports for quarters 2 and 3 were discussed on 17th and 18th October, 2019 respectively but by the time of this assessment minutes were still in draft form (hand written) in a counter book and were not signed by the Chairman and the Secretary and for this matter minutes were not authentic. The report for the 4th quarter was yet to be discussed.</p>	Quarter	Date of submission	Reference for report review	Quarter 1	15th January, 2019		Quarter 2	25th February, 2019		Quarter 3	20th June, 2019		Quarter 4	15th August, 2019		<p>0</p>
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Quarter 3	20th June, 2019																	
Quarter 4	15th August, 2019																	
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>Katakwi DLG operated IFMS to maintain its assets registers with windows for: Buildings, Land, Transport Equipment, Plant and Machinery, Office Equipment, Furniture and Fittings, ICT Equipment, and Medical Equipment.</p> <p>Each category had its features e.g. Buildings had: Site/Plot, Title Deed No., Date place in service, Initial cost, and Building type. The formats were like those in the Local Government Financial and Accounting Manual, 2007.</p> <p>The registers were up dated as and when assets were acquired. A print out which was properly filed and endorsed by the CFO was availed to the assessment team for review</p>	<p>4</p>															
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The Auditor General's Opinion on the Financial statements of Katakwi DLG for the Financial Year ended 30th June, 2019 was Unqualified.</p>	<p>4</p>															
<p>Governance, oversight, transparency and accountability</p>																		

<p>The LG Council meets and discusses service delivery related issues</p>	<ul style="list-style-type: none"> • Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance 	<p>The LG Council met and discussed service delivery related issues as provided in the minutes below:</p>
<p>Maximum 2 points on this performance measure</p>	<p>assessment results and LG PAC reports for last FY: score 2</p>	<p>Quarter 1:</p> <p>Meeting held on 11/9/2018, discussed under Minute no 7/11/9/CL/18 the following: that because there were remittance with held tax to URA totaling 8,887,574. Ug, There was a delayed completion of projects like the construction of the Administration block in Usuk Sub County</p> <p>Quarter 2:</p> <p>Meeting held on 17/10/2018 discussed under Minute no 05/17/Oct/CL/2018 following;</p> <p>The discussion was that all pensioners should come for the meeting on 25/10/2018 with all the required documents together with the application forms filled,</p> <p>There was a border conflict between Napark and Katakwi and it was discussed and resolved on the same under Min 05/17/Oct / CL /2018 .</p> <p>There was payment of the service providers at cost of 260 M to avoid dragging the District to court, also there was car maintenance discussion and the issue of construction of the maternity ward in Katakwi Hospital.</p> <p>The IGG investigated cases on the vehicle LG.00150-048 which was taken to Mbale under UN clearance with clear knowledge of the chief Administrative officer .</p> <p>Quarter 3:</p> <p>Meeting held on 31/1/2019 and discussed under Minute No 05/3/1/January/CL/ 2019 the following were the issues discussed ,</p> <p>The resilience in Kapujani and no report is issued, the secretary production presented VODP { vegetable oil development project report for five sub counties of ; Toroma , Omodoi , Usuk, Ongongoja , and palama with the fund received Totaling to 14,681,500 , Uganda shillings .</p> <p>There was ULGA meeting in Katakwi for 37 Districts which took place on 7th/2/2018 , And The appointment of the DSC member s by names; Akape Athinance, Michael is the chairman. On Min 06/31/January /CL/2019 there was a supplementary budget discussed for UWEP, program of 208,216,000. Shillings, Micro project 162,500,000. UWEP, operations 11,259,429, and the water community users fund 6,150,000/= ,</p> <p>Quarter 4:</p> <p>Meeting held on 16/4/2019 presenting the budget under min 05/16/04/cl/2019, by the district chairperson , And the Budget was approved in the 4th quarter on Minutes 0729/05/cl/2019 worth 26,548,686,000 /= How ever , debts' were accumulating because they had not yet been paid and the councilors sitting allowances and under minute 05/29/05/cl/2019</p>

<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that LG has designated a person to coordinate response to feed-back (grievance/complaints) and responded to feedback and complaints: score 1. 	<p>The name of a designated officer to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaint person was Mrs. Alupo Scola. She was appointed by CAO on 12/02/2019 under letter reference no. CR/153/2</p> <p>There was evidence of responses about complaints for instance there was a meeting held with the Boda Bodas,They discussed about the financial literacy ,and NGOs on 26/4/2019, CGO/PCA/2018-19/643.this meeting was to help the community to be able to develop them selves .</p>	<p>1</p>
<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The district notice board displayed information for the community and the Baraza , among which were the discussed in the Baraza was application for UWEP fund , it was informing the woman who didn't go through should come and fill another forms ,</p> <p>The youth livelihood program, The Baraza was telling them about the delayed disbursement due to bureaucratic process in approval and how it affected release of fund.</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>The payroll 2019-2020 were published on the notice board for the civil services on 18/10/ 2019 ,</p> <p>The pensioners schedule was displayed on the notice board and other means were utilized informing the pensioners to come and get their salaries for October 2019 on 15/10/2019 ,</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>The procurement plan was published on 30/10/2018 ,This included the warded contracts for the FY 2018/19 for instance;</p> <p>The construction of the council chambers phase v Ref ; KATA522/WRKS/18-19/00002, The contractor was M/s Kide Builders &Engineer Ltd , Amounting to UGX 196,133,700, vat inclusive.</p> <p>The upgrade of Aliakamar HCII - HCIII ,on Reference number , MOH-Ug IFT/WRKS/2018, the contractor was M/s Jewa Logistics company limited , A mounting to UGX 468,363, 854 , VAT inclusive .</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The evidence was on the notice board of Katakwi , Dated 08/11/2018 displaying the national assessment results of 2018/2019 report showing the LGPA covered areas of accountability requirement , cross cutting performing measures , Education , Health Performance measures and the water performance measure ,</p>	<p>1</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The DTPC meeting held on 15/01/2019 communicated about the pensioner not getting their pension payments ,as per the guideline circular and policies issued by the National level</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was no evidence that LG during the previous FY have conducted discussions through Barazas, radio program , to give the feedback on status of activities implemented in the FY 2018/19,</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>The District Gender Focal Person (DGFP)/CDO Mr. Opio Stephen Philip provided guidance and support to various sector of the LG evidenced by the report dated 7th October 2018 where the GFP discussed key issues with the DTPC such as: Equal opportunities for men and women, Recruitment policies especially to high management positions should consider women, Equally opportunities for men and women in: promotions, carrier development, motivations and payments, development and positioning. Signed by the CAO on 21st January 2019.</p> <p>From the meeting minutes dated 11th April 2019 Agenda number 5, Gender mainstreaming guidance was given.</p> <p>From the LG work plan extracted from PBS for FY 2018/2019 generated on 31st July 2018 page 91 indicating where quarterly meetings for district women council Assistant Executive Secretary and Executive committee for mobilization of women programmes held.</p> <p>Annual progress reports for Katakwi Gender mainstreaming activities for FY2018/2019 dated 10th July 2019.</p>	<p>2</p>

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2. 	<p>The GFP/CDO planned activities for the current FY 2019/2020 to strengthen women's roles and address vulnerability and social inclusions as evidenced by;</p> <p>i) Gender mainstream activity for trainings on GBV laws, policies seen in the approved work plan page on 106, generated on 19th July 2019.</p> <p>ii) Facilitation of the district women council, Assistant Executive committee and Executive committee for mobilisation of women programmes.</p> <p>iii) Participation of district women representatives in the National function/event of communication of International Women's day seen on page 111 of the approved work plan generated on 19th July 2019.</p> <p>Also in the previous FY 2018/2019, the District had planned budgeted and implemented gender related activities at a cost for UGX. 3,944,000 as indicated below;</p> <p>i. Payment voucher for transfer of community development for LLG in Kapujan sub county, Voucher number 23534121 at UGX 394,356.</p> <p>ii. Payment voucher for transfer of community development for LLG in Ngariam sub county, Voucher number 23599839 at UGX 394,356</p> <p>iii. Payment voucher for transfer of community development for LLG in Magoro sub county, Voucher number 23599835 at UGX 394,356</p> <p>iv. Payment voucher for transfer of community development for LLG in Omodoi sub county, Voucher number 23599808 at UGX 394,356</p> <p>v. Payment voucher for transfer of community development for LLG in Usuk sub county, Voucher number 23534122at UGX 394,356</p> <p>vi. Payment voucher for transfer of community development for LLG in Ongongoja sub county, Voucher number 23534124 at UGX 394,356</p> <p>vii. Payment voucher for transfer of community development for LLG in Katakwi sub county, Voucher number 23534123 at UGX 394,356</p> <p>viii. Payment voucher for transfer of community development for LLG in Katakwi Town Council, Voucher number 23534121 at UGX 394,356</p> <p>ix. Payment voucher for transfer of community development for LLG in Palam sub county, Voucher number 23534125 at UGX 394,356</p> <p>x. Payment voucher for transfer of community development for LLG in Toroma sub county, Voucher number 23599840 at UGX 394,356</p> <p>which was (100%) implementation and therefore way above the 90% threshold.</p>
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<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>The District carried out screening activities and had evidence of ESIA reports and checklist for some of the sampled 5 projects as indicated below;</p> <p>i) Construction of Low cost Sealing Alleles-Omodoi Road checklist Ref: KATA 522/wrks/18-19/00027, Mitigation Certification form dated 6th May 2019 and screening form dated 2nd July 2019 endorsed by environment officer.</p> <p>ii) Construction of 2 Stance Pit latrine in Akisim primary school in Omodoi sub county. Screening form seen dated 7th March 2019 endorsed by environment officer.</p> <p>iii) Construction of Administration Block at Usuk Sub County, screening form seen dated 24th June 2019 endorsed by environment officer.</p> <p>iv) Upgrade of Aliakama Health centre II to a Health centre III, screening form seen dated 24th June 2019 endorsed by environment officer.</p> <p>v) Construction of Palam seed secondary school in Palam sub county.</p> <p>The District had a general detailed report for FY 2018/2019 dated 20th August 2019 on the EIA carried out on all development projects under taken in the district indicating main objectives and activities.</p>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>The District integrated Environmental and Social Management concerns in the contract bid documents as evidenced by the sampled projects below;</p> <p>i) Low cost sealing of Alleles-Omodoi-Adere road (1.3km) Ref: KATA 522/wrks/18-19/00027. Under Preliminary and general items: BoQ item No. 6.8, Environmental Mitigation (Planting of trees) at UGX 3,900,000 on page 127 of contracts form.</p> <p>ii) Construction of Administration Block for Usuk sub county. Ref: KATA 522/wrks/18-19/00025. BoQ item No. 7 of the contract agreement. The contract shall plant fifty trees and maintain them to a reasonable height as recommended by the district environment officer. The item had no cost.</p> <p>iii) Construction of Flash Toilets at Ocarimongin Market. Ref: KATA 522/wrks/18-19/00013. BoQ item No. 7 of the contract agreement. The contract shall plant fifty trees and maintain them to a reasonable height as recommended by the district environment officer. The item had no cost.</p> <p>iv) Construction of 5 staff houses at Akwamor primary school. Ref: KATA 522/wrks/16-17/00023. BoQ item No. 7 of the contract agreement. The contract shall plant fifty trees and maintain them to a reasonable height as recommended by the district environment officer. The item had no cost.</p>	<p>1</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>The LG had evidence of land ownership on which some projects implemented for FY 2018/2019 were located, for example;</p> <p>i) A Freehold Certificate of title was seen for Administration Block at Usuk sub county Ref: Lm-11-1215, Volume 480, Folio 5, Area 6.198 Hectares on Plot 8 and Plot 9.</p> <p>ii) A letter of Agreement dated 22nd July 2015 addressed to Ministry of Education and Sports with subject matter: Re: Allocation of Land for the New School, Olilim S.S.S in Palam sub county Katakwi district endorsed by Rev. Fr. Akapalmmas Lawrence, Chancellor Soroti Catholic Diocese. File No. KDLB/05(a)/03/07/19.</p> <p>However, it was noted that name of the school in question was changed by the community from Olilim S.S.S to Palam Seed Secondary School because it was located in Palam Sub county. There was no documentation to that effect.</p> <p>iii) For Upgrade of Aliakame HC II to HC III, the Land title was undergoing validation at the Land office in Kampala, a copy of a letter to the Registrar of Titles was seen dated 15th May 2013 requesting for a Freehold certificate of title Ref: LM/11/329 dated 19th May 2011, Plot allocated under District Land Board minute No. 04/05/2011 LB (b), Folio three (3) endorsed by Okwakol Lawrence Ag. Secretary District Land Board.</p> <p>iv) No land title for project in Akisim Primary School in Omodoi Sub County because the LG has not obtained it yet.</p> <p>The other project (Low cost sealing of Aleles-Omodoi-Adere road did not require a land title.</p> <p>Therefore the LG scored Zero (0) because they did not have proof of ownership of land on which all the projects were implemented.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>There was evidence that all completed projects had environmental and social mitigation certification form completed and signed by the Environmental Officer and CDO seen by the assessment team for the following projects;</p> <p>Low cost sealing on Alleles-Omodoi-Adere Road, certification form stamped and endorsed by the District Environment Officer, Mr Ekwith Emanuel and the district senior community development officer Mr Opio Stephen Phillip on 12th January 2019.</p> <p>Construction of Palam seed secondary school in Olilim S/C, stamped and endorsed by the District Environment Officer, Mr Ekwith Emanuel and the district senior community development officer Mr Opio Stephen Phillip on 9th June 2019.</p>	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>There was evidence that contract payment certificated includes prior environmental and social clearance for example;</p> <p>i) Low cost sealing of Alleles-Omodoi-Adere road.</p> <p>The environmental and social compliance certificate was dated 6th May 2019 at a cost of UGX 500,000 for mitigating environmental concerns</p> <p>ii) Upgrade of Aliakame Health centre II to a Health centre III, contractors mitigation cost at UGX 14,050,915 The environmental and social compliance certificate dated on 10th February 2019.</p> <p>iii) Aboisa Borehole in Ongongonja sub county, contractors cost mitigation 7,500,000 The environmental and social compliance certificate was dated 5th March 2019.</p>	<p>1</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>The District Environment officer had prepared quarterly reports but not monthly because lack of logistics.</p> <p>The reports included completed checklists evidenced by the environmental compliance monitoring and evaluation checklist dated 30th September 2018 for low cost sealing of Alleles Omodoi Adere Road.</p> <p>Vegetation was destroyed and corrective measures were under taken like planting tree seedlings of which pictures were observed on page 2 from the report on mitigation measures applied on Alleles Adere road dated 23rd August 2018</p>	<p>1</p>
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Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>All the 78 Primary schools had Head teachers and 7 teachers per school according to the deployment list of staff dated 2019/2020 and the LG has budgeted for a Head Teacher and minimum of 7 teachers per school in this current year. The wage bill provision for the FY 2019/2020 was UGX 4,833,005,880/=</p>	4
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>In the current year 2019/20 a Head teacher and a minimum of 7 teachers per class were deployed in 78 primary schools according to the staff deployment list of 2019/2020 prepared on 21/6/2019 according to PBS.</p>	4
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> If 100%: score 6 If 80 - 99%: score 3 If below 80%: score 0 	<p>The LG filled the structure for positions of 727 primary teachers with a wage bill provision all of whom are on the payroll. The total staff required was 727 and the actual number of teachers available was 727.</p> <p>The wage bill provision was 100%</p>	6
<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The staff structure provided for two Inspectors of Schools and both positions were filled as per appointment letters as follows:</p> <p>Mr. Ongyali Patrick appointment letter dated 21/12/2007 under letter reference number CR/10965 and DSC /102/2007.</p> <p>Mr. Okiror John Bosco appointment letter dated 1/7/2019, CR/13696 and DSC/173/2019.</p>	6
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> Primary Teachers: score 2 	<p>There was a recruitment plan for the education department that was prepared by DEO and submitted to HRM on 27/3/2019 under letter reference number, CR/212/2 in respect of recruiting 22 primary teachers for 2019.</p> <p>The two School Inspectors were in place and there was no need for recruitment.</p>	2

<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>The two School Inspectors were in place and there was no need for recruitment.</p>	<p>2</p>
<p>Monitoring and Inspection</p>			
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>There was evidence that the LG education department appraised all (78) head teachers as per the three sampled Head teachers' personal files and found that they were appraised as indicated:</p> <ol style="list-style-type: none"> 1. Aboket SuzinEseza, File no. CR/10481 Head teacher of Usuk Girls P/S, Code :430335 was appraised on 20/2/2018 and endorsed by the DEO on 20/2/2018. 2. Ariokot Elizabeth, File No. CR/573 Head teacher of Apolin P/S was appraised on 25/3/2018 and endorsed by the DEO on 25/3/2018. 3. Acan Tereza, File No. CR/12679, Head teacher of Omosingo P/S was appraised on 12/12/2018 and endorsed by District Inspector of Schools on 18/12/2018 	<p>3</p>
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers <ul style="list-style-type: none"> o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>There was evidence that the LG education department appraised 1 Inspector of Schools in 2018/2019 as per evidenced in the sampled files.</p> <p>Mr. Ongwali Patrick, Senior Inspector of Schools, File No: 10965 was appraised on 21/06/2019.</p> <p>Mr. Okiror John Bosco appointment letter dated 1/7/2019, CR/13696 was not appraised as an Inspector of Schools because he was not on Board.</p>	<p>3</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>There was evidence that the LG Education department received a policy on Gender for FY2018/2019 issued by the centre and communicated to schools on 19/9/2018.</p> <p>From the sampled schools:</p> <p>At Usuk primary school no policy document on gender was received.</p> <p>At Akaboi Primary School a policy on Gender was not received.</p> <p>At St. Paul Nursery at Primary School a Policy on Gender was not received.</p>	<p>1</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>The Education department held a meeting with primary school head teachers where the DEO explained and sensitized them on the Gender policies as per Min.7.3 in a meeting dated 19/9/2018.</p>	<p>2</p>
<p>The LG Education Department has effectively inspected all registered primary schools²</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>There was evidence that all licensed or registered primary schools were inspected at least once per term as indicated below:</p> <p>Term 3 Inspection reports compiled for 53 schools while the other 25 were inspected using the E-inspection technology.</p> <p>Term one Inspection report dated 25/3/2019 compiled for 60 schools and 18 on E-inspection.</p> <p>Term two Inspection report of the 78 schools conducted in June 2019.</p> <p>Out of 3 sampled schools, 2 were inspected namely, Usuk Girls Primary School and Akoboi Primary School. However, St. Paul Nursery and Primary School was not inspected. Score was 66%.</p>	<p>12</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>The Consultant had evidence that the Education Department had discussed school inspection reports and used reports to make recommendations for corrective actions as per Minutes dated 8/10/2018. Another meeting was held on 5/2/2019 and discussed corrective measures on the deployment of competent teachers in 2019.</p>	<p>4</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>There was evidence that the LG education department submitted schools inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports as follows:</p> <p>Term 3, 2018 was submitted and received on 11/03/2019 and Term 1 report 2019 was delivered by Mr. Ongwali Patrick, Senior Inspector of Schools and received on 8/7/2019 by Kirenda Winnie, Secretary in the Ministry of Education and Sports.</p> <p>Term 2 Inspection report was not yet submitted.</p>	<p>0</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>1. On low staffing levels of staff, the DEO took action and recruited 22 Head teachers and 40 classroom teachers in 2018, recruitment plan dated 5/3/2018.</p> <p>2.The DEO wrote letters of warning to Mr. Kulimo Stephen of Acanga P/S summoning him in response to the Inspection report of March 2019 (1ST Term) about recommendation on his absenteeism.</p>	4
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>The Consultant has seen a Performance Contract of FY 2019/2020 submitted as per PBS dated 12/4/2019. The performance contract had a list of 727 teachers, 78 schools and enrolment of 25,418 girls and 25,144 boys.</p>	5
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>The assessment team reviewed the Annex of the Performance Contract of FY 2019 from the PBS dated 12/4/2019 and established that there were 78 Government Aided Primary Schools.</p> <p>Pupils: 25,418 girls and 25,144 boys</p> <p>Total:50,562</p> <p>Head teachers:78: 55 men and 23 women</p> <p>Teachers: 511 men and 216 women</p> <p>Total:727</p> <p>Inspectors: 2 men</p>	5
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>There was evidence that the committee responsible for education met and discussed service delivery issues as follows:</p> <p>According to Min:04/14/05/CL/2018 of the Education Council Committee meeting, held on 4/5/2018 where the following issues were presented:</p> <ol style="list-style-type: none"> 1. Non-accountability of UPE and USE funds. 2. Bookkeeping and financial management of UPE. 	2

<p>The LG committee responsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>The education sector committee presented a sector Implementation Plan dated 27/3/2019 to the council highlighting among other issues the recruitment of 22 primary school teachers under Min: 5/13/2/CL. As a result, 22 teachers were recruited.</p>	<p>2</p>
<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>There was evidence that all primary schools had functional SMCs as evidenced from the sampled files from the DEO's office as follows:</p> <p>1. Usuk Girls P/S</p> <p>There was evidence that SMC meetings were held and minutes were available for review for instance: On 08/10/2018, 22/2/2019 and 5/3/2019.</p> <p>2. Akoboi P/S</p> <p>It was evident that SMC meetings were held and minutes were available. The first SMC meeting was held on 18/6/2018, second SMC meeting was held on 2/8/2018 and the third was held on 7/3/2019.</p> <p>3. St. Paul Nursery and Primary School</p> <p>There was evidence that the SMC meeting was held on 21/11/2018. The attendance list was available but the minutes were missing.</p> <p>Reports were submitted to DEO & MEO as follows:</p> <p>A meeting to discuss the budget was held on 14/03/2019 under Min.:iv/3/2019 and approved by Chairman SMC dated 15/3/2019.</p> <p>The budget was discussed and approved by the Chairperson SMC dated 15/3/2019.</p>	<p>5</p>
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</p>	<p>There was evidence at the District Education Officers notice board where information on release of UPE funds (UGX 2,148.080/-) for Usuk P/S for term one 2018 was displayed and such information was also displayed in the sampled government aided schools. The notice was signed by the DEO.</p>	<p>3</p>
<p>Procurement and contract management</p>			

<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>There was evidence that the sector submitted procurement plan 2018/2019 to the Procurement Unit and approved by the Contacts Committee meeting dated 4/4/2019 under Min: 06/04/2019/DCC updated the Procurement Plan as input into LG procurement plan.</p> <p>The procurement input was submitted on 11/4/2019.</p>	4
Financial management and reporting			
<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</p>	<p>There was evidence that the Education departments timely certified and recommended suppliers for payment as per sampled contracts:</p> <p>Payment request was lodged by the contractor on 21/4/2019, approved by the CAO on 3/4/2019 and payment was effected under payment voucher No. 22664150/EDU-216 dated 5/4/2019 amounting to Shs. UGX 51,450,252/=.</p>	3
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>The annual performance report for the previous FY 2018/2019 was submitted on 31/07/2019, combining quarters,1.2.3. and 4 under Vote 522 FY/2018/2019.</p>	4
<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>There were four queries that were raised by the internal auditor during the FY 2018/19.</p> <ol style="list-style-type: none"> 1. UPE funds: Responded that there was change of policy. 2. School Inspection: Response on absenteeism: Teachers were disciplined against absenteeism. 3. Staffing in Education Department: Response: The Inspector of Schools was recruited on 19/7/2019. <p>25 primary teachers were promoted to positions of Head teachers.</p> <p>4. PLE performance:</p> <p>The District Education Department has instituted Katakwi Education Improvement Committee (KEPIC) to improve PLE performance by setting exams, marking and analysing results to find remedies. The committee comprises of competent teachers and national examiners who mark PLE exams at National Level. They breakdown the topics into teachable and easy to understand units.</p>	4
Social and environmental safeguards			

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc. : Score 2 	<p>There was evidence that the Education Department had a copy of the Gender Policy 2016 which was disseminated and sensitized Head teachers, teachers and pupils on gender issues in a meeting held on 19/9/2018.</p> <p>Areas addressed included, Roles of stakeholders on how to handle hygiene, reproductive health and life skills.</p>	2
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>A meeting was conducted by Gender Focal person and the Education Officer dated 19/9/2018 under Min: 7/3/2018.</p> <p>The meeting focused on Roles of the stakeholders and how to handle hygiene, reproductive health and PWDs in primary schools. The Gender Guidelines and Policy document were disseminated to the teachers</p> <p>The Assessment team also interviewed the Senior woman of Akoboi P/S on Gender issues and she reported that she had conducted Guidance and counselling session for girls of Primary 4, Primary 5, Primary 6 and Primary7 dated 20/3/2019.</p> <p>A meeting was conducted by Gender Focal person and the Education Officer dated 19/9/2018 under Min: 7/3/2018.</p> <p>The meeting focused on Roles of the stakeholders and how to handle hygiene, reproductive health and PWDs in primary schools. The Gender Guidelines and Policy document were disseminated to the teachers</p> <p>The Assessment team also interviewed the Senior woman of Akoboi P/S on Gender issues and she reported that she had conducted Guidance and counselling session for girls of Primary 4, Primary 5, Primary 6 and Primary7 dated 20/3/2019.</p>	2
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>There was evidence that all primary schools had functional SMCs as evidenced from the sampled files from the DEO's office as follows:</p> <p>In Usuk Girls P/S the SMC members are 12 and the composition of 6 females and 6 males.</p> <p>In Akoboi P/S the SMC comprises of 13 members with 10 males and 3 females.</p> <p>In St. Paul Nursery and Primary School, the SMC was composed of 13 members with 7 males and 6 females.</p>	1
<p>LG Education department has ensured that guide- lines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>There was no evidence that the LG Education department in collaboration with Environment department issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education).</p>	0

<p>LG Education department has ensured that guide- lines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>No evidence was provided.</p>	<p>0</p>
<p>LG Education department has ensured that guide- lines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1 	<p>There was evidence that the DCDO and DEO visited the renovation of 2 classroom block at St. Joseph P/S/ site as per report dated 30/5/2019. The reports showed that the mitigation plans of clearing the waste materials were complied with.</p> <p>There were deviations and therefore no corrective measures were needed.</p>	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>Approved primary health care workers in Katakwi DLG were 461 which 299 were in post with a staffing gap of 162.</p> <p>PHC wage allocation for Katakwi district FY 2019/20 was: 3,209,735,160=</p> <p>PHC wage requirement for health workers in post for FY 19/20 was 3,216,262,000=</p> <p>%wage provision (posts filled for primary health care workers with a wage bill provision) = 99.8%.</p> <p>Therefore, the local government filled all the structure for primary health workers with a wage bill provision from PHC wage for the current FY 2019/2020 to a tune of 99.8% with a wage bill surplus of 6,526,840.</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The DHO submitted a health recruitment plan as evidenced by a submission letter to HRM dated 9th July 2019 (Reference: Med/157/2) and the Principle Human Resource Officer received it on 12th July 2019. The department prioritized to recruit 2 Anesthetic officers, and 4 enrolled midwives with a projected wage annual wage of 20,539,474/.</p> <p>Since the health department had a wage surplus of 6,526,840/, It was reported that the remaining wage requirement for staff to be recruited worth, 14,012,634/ was to be sourced from staff that are due to retire .</p>	6
<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In- charge and ensured performance appraisals for HC III and II in- charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>There was evidence that all health facilities in charges were appraised during the previous FY 2018/19. For instance:</p> <ul style="list-style-type: none"> • In-charge of Katakwi Hospital Dr. Emuron Joseph appraised on 18th July 2018. Appraiser's (DHO) comment "self-motivated officer" • In-charge of Damasco HC II, Amuron Leah was appraised on 24th July 2019. Appraiser's (DHO) comment "Good team Leader" • In-charge of Ongongoja HC II, Madudu Grace, was appraised on 30th July 2019. Appraiser's (DHO) comment "Needs training on ART" • In-charge of Kokorio HC II, Akot Stella, was appraised on 30th June 2019. Appraisers (DHO) comment "Recommended for further training" • Okocho HC III in charge, Ideya Julian was appraised 3rd July 2019. Appraisers (DHO) comment "Result oriented officer" 	8

<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>The LG deployed health workers in line with lists submitted with the budget for FY 2019/2020 in PBS system dated 1st July 2019</p> <p>Five facilities were sampled as follows:</p> <ul style="list-style-type: none"> Katakwi hospital 100 staff Toroma HC IV had 50 staff Akoboi HC II Had 9 staff Damasco HC II had 9 staff Okochi HC III had 14 staff <p>At each facility, the staff list matched with the deployment list at DHO's office</p>	4
Monitoring and Supervision			
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>The only MOH prioritized guideline was the Sector grant and Budget guidelines for local governments 2019/2020</p> <p>There was evidence that the DHO's office communicated the above guidelines and that was supported by existence of distribution list for those guidelines in DHO's office where in-charges signed for those guidelines. The distribution list was however not dated but linked to a DHT Meeting held on 12th August 2019</p>	3
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>There was evidence that the DHO's office had held a meeting to explain the guidelines received from the center to health facility in-charges.</p> <p>The guidelines discussed was: Sector grant and Budget guidelines for local governments 2019,</p> <p>The above guideline was discussed on 12th August 2019 during a DHT meeting with health facility in-charges under , Min 3 of 12/8/2019 as Communication from DHO</p>	3
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>Katakwi District had a Hospital and 1 HC IV</p> <p>The assessment team looked at evidence that the DHT had conducted 4 quarterly integrated technical support supervision visits to Katakwi Hospital and Toroma HC IV.</p> <p>The quarterly support supervision reports indicated that the hospital and HC IV were visited as follows:</p> <p>Quarter 1 report: 5th October 2018</p> <p>Quarter report 2: 4th January 2019</p> <p>Quarter 3: 5th April 2019</p> <p>Quarter4: 10th July 2019</p> <p>From the Red book (MOH support supervision book) there was evidence that the health center IV had been visited on the above dates</p>	3

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>Katakwi District had two HSDs and these were: Katakwi hospital HSD and Usuku HSD located at Toroma HC IV.</p> <p>The assessment team reviewed HSD support supervision reports for selected 5 health facilities and established that all the sampled health facilities were visited.</p> <p>Akobo HC II Ngaram HC III Magoro HC III Kapjan HC III Ongongoja HC II</p> <p>Evidence was derived from the MOH provided supervision book at each health unit.</p>	<p>3</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>All the 4 support supervision reports were discussed by DHT and recommendations made for corrective actions during the FY 2018/2019.</p> <p>Quarter 1: DHT meeting held on 2nd July 2018 under Minute 5: Recommendations; recommended incharges to step up waste management at health facilities</p> <p>Quarter 2: DHT meeting held on 18th October 2018 and under Minute 6: Recommendations; recommended that all incharges should ensure that accountabilities for unaccounted for funds</p> <p>Quarter 3: DHT meeting held on 26th February 2019 and under Minute 4: Recommendations; recommended that all staff who have not been appraised should be appraised immediately</p> <p>Quarter 4: DHT meeting held on 9th April 2019 and under Minute 5: Recommendations; discussed shortage of Albendazole drug and recommended that Albendazole be redistributed from health facilities that have better stocked</p>	<p>4</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed up and specific activities undertaken for correction: score 6 	<p>Quarter 1: DHT meeting held on 2nd July 2018 recommended, under minute 4, discussions; that incharges to step up waste management at health facilities, the DHO wrote a letter to incharges guiding on proper medical waste management (unreferenced letter from DHO to health facility incharges dated 4th January 2019</p> <p>Quarter2: DHT meeting held on 18th October 2018, minute 5; recommendations recommended that all incharges should ensure that accountabilities for un accounted for funds. The DHO wrote a letter to incharges on 8th April 2019 instructing them to send appraisal forms for the year 2019</p> <p>Quarter3: DHT meeting held on 26th February 2019 under minute</p> <p>; way forward recommended that all staff who have not been appraised should be appraised immediately. Whereas there was no documented evidence in terms of follow up to this recommendation, it was established that the sampled personal files for health facility incharges had appraisal forms for the year 2019.</p> <p>Quarter4: DHT meeting held on 9th April 2019 minute 6: way forward; discussed shortage of Albendazole drug and recommended that DHO write to UNICEF to request for Albendazole. On 9th April, DHO wrote to UNICEF requesting for supplementation of Albendazole drugs. Therefore, there is evidence that action points were followed and worked upon hence a score of 6.</p>	6
<p>The LG Health department has submitted accurate/ consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>Katakwi DLG had 25 health facilities that benefited from PHC in FY 2018/2019</p> <ul style="list-style-type: none"> • 1 Hospital • 1 HC IV • 7 HC IIIs (4 Govt, 2 PNFP) • 18 HC IIs (14 Govt, 2 PNFP, 1 Military) <p>The September 2019 HMIS reports were submitted between 5th - 8th September 2019. Reports were entered into DHIS2 system for health reporting. All facilities benefiting from PHC (25) reported in DHIS2 as evidenced by the DHIS2 output received from the Ministry of health</p>	10
Governance, oversight, transparency and accountability			

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The Social services committee minutes were presented and all had health related issues discussed as indicated below:</p> <ul style="list-style-type: none"> Quarter 1, Committee sat on 23rd September 2018, and recommended that Koriotok HC II be upgraded to HC III under minute 5/23/9/COM/2018 Quarter 2, Committee sat on 14 October 2018, and recommended that Katakwi Town council should be requested to release some piece of land for construction of nursing school under minute 5/14/10/COM/2018 Quarter 3, Committee sat on 27th March 2019 matters concerning delay of executing health capital projects was a concern (6/27/5/COM/2019) Quarter 4, Committee sat on 14th May 2019, and endorsed the health budget worth 5,580,893,901/ under minute 5/14/5/COM/19/ 	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>On 16th April 2019, secretary for health presented Health budget during laying of district budget (Min 05/16/04/CL/2019 and on 31st May 2019 under minute 07/29/05/CL/2918, the district budget was passed (Health budget inclusive)</p>	<p>2</p>

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 6 • If 80-99 %: score 4 • If 70-79: %: score 2 • If less than 70%: score 0 	<p>The assessment team visited 3 sampled health facilities and established that HUMC's sat as indicated below</p> <ul style="list-style-type: none"> • Katakwi Hospital, Toroma HC IV and Kobulubulu HC III: HUMC sat in all quarters as evidenced from the HUMC minutes • Hospital: <ul style="list-style-type: none"> Q1: 12/10/18, Q2: 31/12/18, Q3: 22/3/2019 Q4: 28/6/2019 Kobulubulu HC III <ul style="list-style-type: none"> Q1: 4/12/18, Q2: 25/01/18, Q3: 15/4/2019 Q4: 6/8/2019 Toroma HC IV <ul style="list-style-type: none"> Q1: 17/12/18, Q2: 20/01/18, Q3: 03/4/2019 Q4: 10/06/2019 <p>A list of health facilities that benefit from PHC recurrent non-wage was displayed at the district health notice-board, signed by DHO Dr Amali Samuel but not dated.</p> <p>The displayed list matched with health facilities that are in the PBS system there was no display of PHC at the health facilities</p> <p>The annual amounts allocated for visited health facilities as per the displayed list at DHOs office are as follows:</p>	<p>6</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non- wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>A list of health facilities that benefit from PHC recurrent non-wage was displayed at the district health notice-board, signed by DHO Dr Amali Samuel but not dated.</p> <p>The displayed list matched with health facilities that are in the PBS system there was no display of PHC at the health facilities</p> <p>The annual amounts allocated for visited health facilities as per the displayed list at DHOs office are as follows:</p>	<p>4</p>
<p>Procurement and contract management</p>			

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The procurement plan for health department for the current FY 2019/20 was submitted on 2nd April 2019, and it included all sector investments for implementation.</p>	2
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The procurement request form for health department for the FY 2019/20 was submitted on 24th April 2019, evidenced by the submission letter to procurement department of PP1 and was received on the 23rd day of April 2019.</p> <p>Services to procure included:</p> <ol style="list-style-type: none"> Repair of vehicles at 800,000/ Renovation of OPD block at Akurao HC II (5,500,000/) 	2
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>Health department had one ongoing major capital project during FY 2018/19. The project was: Upgrading Aliakame HC II to HC III.</p> <p>The contractor MS/ Oimnya enterprises made a request for payment on 12th April 2019, , DHO certified payment on 6th May 2019 and payment was effected on 10th May 2019</p>	4
Financial management and reporting			
<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>The department submitted the annual performance report for the previous FY 2018/2019 on 30th July 2019</p> <p>Submission of quarterly reports to Planner (via PBS) during FY 2018/2019 were as follows:</p> <p>Quarter 1 18/11/2018</p> <p>Quarter 2 28/01/2019</p> <p>Quarter 3 15/5/2019</p> <p>Quarter 4 30/7/2019</p> <p>The submission of the annual report was beyond the recommended deadline of mid July</p>	0

<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> • If sector has no audit query: Score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points • If all queries are not responded to Score 0 	<p>Action taken on queries raised by the Internal Auditor during FY 2018/2019 as seen per quarterly audit report</p> <p>Total number and nature of queries raised were 4</p> <p>Total number and nature of queries cleared were 4</p> <p>Total number and nature of queries pending were 0</p> <p>All the 4 audit queries had been appropriately responded by DHT</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>All the sampled health facilities had the required 30% (above) of female composition on HUMC:</p> <p>Sampled facilities were:</p> <ul style="list-style-type: none"> • Katakwi General Hospital 4/12=33% • Toroma HC IV 3/9=33% • Akoboi HC II 3/6=50% • Magoro HC III 2/8=40% • Ngariam HC 5/7=71% 	<p>2</p>
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>Ministry of health did not issue new guidelines to LGs regarding management of sanitation in health facilities in the previous year but old guidelines existed (Sanitation and waste management guidelines, 2013)</p> <p>The assessment team visited</p> <p>Katakwi hospital</p> <p>Toroma HC IV</p> <p>Akoboi HC II</p> <p>At each of the health facilities, waste care management guidelines 2013 were in place. There were also posters for hand washing printed by Ministry. There were separate sanitary facilities for men and women, properly labeled.</p>	<p>2</p>

<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>There was one new project in FY 2018/19 under health department, the other projects had been started in the previous financial year (2017/2018).</p> <p>The new project for FY 18/19 was: Renovation of OPD, Maternity and stores at Katakwi General Hospital.</p> <p>Environment and social screening was done on 28th June 2018 and the most identified hazard was respiratory infection due to dust arising from the demolished debris.</p> <p>The mitigation plan was to wear masks and treat all infections that would emerge. The report was endorsed by the Environmental officer and CDO</p>	<p>2</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 2 	<p>On 26th June 2019. The environmental officer visited hospital to follow up on the mitigation plan for renovation of OPD, which was a continuing capital project from FY 17/18. No hazards were identified as per the supervision checklist dated as above. No evidence that the CDO also visited the hospital for the same purpose</p>	<p>2</p>
<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>There were no new guidelines on medical waste issued by the health department last financial year. However, the visited health facilities (Katakwi hospital, Toroma HC IV and Akoboi HC II) had sanitation charts relating to medical waste segregation management. These charts were developed by the Ministry of Health in 2013 and are still useful.</p>	<p>4</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub- counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>There was evidence that in the FY 2019/20, Katakwi District Local Government allocated development budget for the water department of Ugx. 196,163,509 for;</p> <ul style="list-style-type: none"> • Drilling 6 number Boreholes each at a cost of Ugx25,000,000 in the six targeted sub counties, • Rehabilitation of Six Boreholes each at 7,000,000, • Construction of a 5-stance drainable latrine and piped water supply (approved workplan and budget with page number which was approved on 17th August,2019) and PBS <p>Page 92</p> <p>According to the Ministry of Water and Environment the District average safe water coverage was 92%</p> <p>The DWO targeted allocations to sub-counties with safe water coverage below the district total access coverage of 92% (district summary, MoW&E).</p> <p>There was one (1) sub county in Katakwi LG with coverage below the District average and this was; Kakakwi sub county with percentage access of 87% which was targeted for the project of Drilling 6 number Boreholes each at a cost of Ugx25,000,000 in the five targeted sub counties,</p> <p>And there were other four (4) sub counties which were also targeted with percentage access above the District safe water coverage and they were as follows;</p> <ul style="list-style-type: none"> • Ongongoja sub county with 95% • Ngariam sub county with 95% • Palam sub county with 95% and • Kapujan sub county with 95% 	10

The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)

Maximum 15 points for this performance measure

• Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY.

o If 100 % of the water projects are implemented in the targeted S/Cs:

Score 15

o If 80-99%: Score 10

o If 60-79: Score 5

o If below 60 %: Score 0

There was evidence that the district Water department implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the FY2018/19

1. The fourth quarter report submitted by the DWO and approved by the CAO indicated 100% execution of all the planned drilling of 5 boreholes each at Ugx.25,000,000 total cost of drilling works Ugx.125,000,000
2. Construction of piped water supply system 100% executed at Ugx.51,040,280 and
3. Borehole rehabilitation 100% executed at Ugx. 12,000,000 at total development expenditure of Ugx.202,540,280 against the planned of Ugx.219,040,280
4. Supervision report during borehole drilling dated 23rd January,2018
5. Report during pump testing and installation of boreholes dated 15th April,2019
6. The water sector implemented a total development expenditure of Ugx. 202,540,280 against the planned development expenditure of Ugx. 219,040,280 giving $(202,540,280/219,040,280) * 100 = 92.5\%$ implementation rate, scoring 10 points

Monitoring and Supervision

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>There was evidence that the district Water department monitored each of WSS facilities at least once annually according to the following monitoring/supervision reports;</p> <p>Supervision report for borehole drilling at different sites was dated 23rd January,2018 at the following locations;</p> <ul style="list-style-type: none"> o Lia in Palam sub county Munyanga in Toroma sub county, o Priscilla girls and Opoc in Katakwi sub county, Abosa and Angolekit in Ongongoja sub county <p>Report on during of pump testing and installation of boreholes dated 15th April,2019 at the following water sources; Lia in Palam sub county</p> <ul style="list-style-type: none"> o Munyanga in Toroma sub county o Priscilla girls and o Opoc in Katakwi sub county o Abosa and Angolekit in Ongongoja sub county <p>Reports on monitoring of water projects dated 30th May,2019 in which 12 facilities were monitored among others;</p> <p>Okibui p/s in Usuk sub county,</p> <p>Ocep source in Omodoi sub county,</p> <p>Atekwa source in Katakwi sub county,</p> <p>Atiira source in Palam sub county</p> <p>The sector monitoring report dated 30th July,2019 in which 90% of the water points facilities were visited from 18th July,2019 to 22nd July,2019 this was in accordance to the supervision and monitoring report submitted to the CAO on 7th March,2019 and the monitoring reports were written in the formats provided by the Ministry of Water and Environment.</p>	<p>15</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 	<p>There was evidence that the District water department submitted accurate/consistent data as per format provided by MoWE for the previous FY 2018/2019 on the following dates;</p> <ul style="list-style-type: none"> • Quarter one data report was submitted on 23rd October,2018 reference CR/223/1 • Quarter two data report was submitted on 17th January,2019 reference CR/223/1 • Quarter three data report was submitted on 24th April,2019 reference CR/223/1 • Quarter four data report was submitted on 25th July,2019 reference CR/223/1 <p>To the Permanent Secretary Ministry of Water and Environment</p>	<p>5</p>

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>The list of water facilities were found to be consistent in both sector MIS reports and PBS for instance:</p> <p>Lia in Palam sub county</p> <ul style="list-style-type: none"> Munyanga in Toroma sub county Priscilla girls and Opoc in Katakwi sub county Abosa and Angolekit in Ongongoja sub county 	<p>5</p>
<p>Procurement and contract management</p>			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>There was evidence that the sector submitted in put for the district procurement plan on 24th April,2018 and receive by the PDU on 24th April,2018 that covered all investment items in the approved Sector annual work plan and budget on time.</p> <p>Example of the investment procurement plans included;</p> <ul style="list-style-type: none"> 5 no. Borehole drilling Pit latrine construction Apapi water supply project 	<p>4</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>There was evidence that the district Water department had a contract manager appointed by the CAO on 6th November,2018 Ref: CR/223/1 and contract implementation plan prepared and submitted to the CAO on 7th March,2019.</p> <p>And on 15th April,2019 submitted a supervision report on pump testing and installation of 5 boreholes</p> <p>Supervision report for borehole drilling at different sites was dated 23rd January,2018 at the following locations;</p> <ol style="list-style-type: none"> Lia in Palam sub county Munyanga in Toroma sub county, Priscilla girls and Opoc in Katakwi sub county, Abosa and Angolekit in Ongongoja sub county <p>Reports on monitoring of water projects dated 30th May,2019 in which 12 facilities were monitored among others;</p> <ol style="list-style-type: none"> Okibui p/s in Usuk sub county, Ocep source in Omodoi sub county, Atekwa source in Katakwi sub county, Atiira source in Palam sub county <p>The sector monitoring report dated 30th July,2019 in which 90% of the water points facilities were visited from 18th July,2019 to 22nd July,2019 this was in accordance to the supervision and monitoring report submitted to the CAO on 7th March,2019 and the monitoring reports were written in the formats provided by the Ministry of Water and Environment</p> <p>All these reports were submitted to the CAO</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<p>The construction of the sampled Water and sanitation facilities visited for instance;</p> <ul style="list-style-type: none"> Construction of Borehole at Opoc source in Katakwi sub county. Construction of Borehole at Priscilla girls S.S source n Katakwi sub county. Construction of Borehole source at Munyanga source in Toroma sub county, <p>These facilities were functional to the required main objective of supplying water to the community and hygiene requirement besides the technical requirement</p>	<p>2</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>There was evidence that contractor handed over all completed WSS facilities example Universal water consultant (U) Ltd handed over the supervision and construction report for the construction of Boreholes in Katakwi LG on 6th August,2019</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>There was evidence that the DWO appropriately certified all WSS projects and prepared and filed completion reports. Some of the completion report availed during the period of assessment were;</p> <ul style="list-style-type: none"> Borehole drilling completion report dated 2nd May,2019 this was one report for all the drilled water sources since the contract was one. Borehole rehabilitation report dated 25th March,2029 Completion certificate to Mastak investment Ltd dated 15th May,2019 <p>Certificate of completion for the feasibility study and design of Arungo pimpled water supply system</p>	<p>2</p>
<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment some of the sampled vouchers included;</p> <ul style="list-style-type: none"> East Africa borehole Ltd submitted a request on 15th May,2019 and was endorsed on 29th May,2019, IPC prepared on 21st May,2019 by the DWO (took 14days) Mastak Ltd submitted a request on 15th March,2019 and was endorsed on 26th March,2019 and IPC prepared 25th March,2019 by the DWO (took11) days Techno design ltd submitted a request on 22ndFebruay and was endorsed on 26th February,2019 (took 5) days <p>All the above certification took less than thirty (30) days</p>	<p>3</p>
<p>Financial management and reporting</p>			

<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The department submitted the annual performance report for the previous FY 2018/2019 as follows</p> <p>Quarter one (1) submission was dated 23rd October,2018</p> <p>Quarter two (2) submission was dated 22nd January,2019</p> <p>Quarter three (3) submission was dated 19th April,2019</p> <p>Quarter four (4) submission was dated 23rd July,2019</p> <p>The quarter four (4) submission was however out of the required timeline of 15th July,2019 which made the LG score 0</p>	<p>0</p>
<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>Action taken on queries raised by the Internal Auditor during FY 2017/2018:</p> <p>Action taken on queries raised by the Internal Auditor during FY 2017/2018:</p> <p>The internal auditor report dated 30th July,2019 reference CR/252/1 page 6 and 7 raised the value for query for paying the contractor all the contract sum yet two of the boreholes were dry?</p> <p>Secretary PAC wrote to the DWO in a letter dated 11th October,2019 reference CR/214/5 to respond on the queries raised</p> <p>PAC committee meeting of 18th October,2019 section 4.8-page 6-minute PAC/4/10/2019 dropped the query</p>	<p>3</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>There was evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) some of the sampled meetings included;</p> <p>On 13th May,2019 under minute 05/13/5/wrks/2019 and issues discussed was about the water sector budget of Ugx.513,403/841,</p> <p>On 28th March,2019 under minute 05/26/3/wrks/2019 issues discussed was the water sector performance for quarter two (2)</p> <p>On 10th January,2019 under minute 05/10/1/wrks/2019 issues discussed was the water sector performance for quarter one (1)</p>	<p>3</p>

<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>There was evidence that the water sector committee presented issues that require approval to Council on the following dates;</p> <ul style="list-style-type: none"> On 6th April,2019 under minute 07/16/04/CL/2019 required that the DWO should organize radio talk shows to sensitize the public on Borehole rehabilitation and <p>Areas of return should be prioritized during the allocation of water services</p> <ul style="list-style-type: none"> On 31st January,2019 under minute 08/31/Jan/CL/2019 and issues was that the National Water and Sewerage Corporation should take over the management of water in Katakwi District because of low level of water On 11th September,2018 under minute 4/11/9/CL/2019 the issued was the completion of the piped water scheme 	<p>3</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act on 7th August,2018 for all the four quarters as follows;</p> <ol style="list-style-type: none"> Quarter one (1) was Ugx.149,018,965 Quarter two (2) was Ugx.160,293,361 Quarter three (3) was Ugx.156,426,175 Quarter four (4) was Ugx.8,901,141 	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>The assessment team observed that all WSS projects were clearly labeled indicating the name of the project, date of construction, the contractor and source of funding. Some of the sampled visits included;</p> <ul style="list-style-type: none"> At Opoch Source constructed by East Africa Borehole Co. Ltd on 29th March,2019 source of funding Ministry of Water DWD 70343 Priscilla Girls S.S constructed by East Africa Borehole Co. Ltd on 30th March,2019 2019 source of funding Ministry of Water DWD 70341 Munyang source constructed by Africa Borehole Co. Ltd on 28th March,2019 source of funding Ministry of Water DWD 70342 	<p>2</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was evidence that Information on tenders and contract awards was displayed signed by the DWO.</p> <p>The following information was observed,</p> <ol style="list-style-type: none"> Kata522/wrks/18-19/00001, awarded to East Africa Boreholes Ltd for drilling boreholes at a contract sum of Ugx.142,508,457 Kata522/wrks/18-19/00013, awarded to Universal consultants Ltd for siting, drilling and supervision at a contract sum of Ugx.14,99,481 Kata522/wrks/18-19/00011, awarded to Mastak Ltd for borehole rehabilitation at a contract sum of Ugx.41,776,000 Kata522/wrks/18-19/00010, awarded to construction of 5 stance drainable latrine at a contract sum of Ugx.23,792,280 	<p>2</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>There was evidence that communities apply for water/public sanitation facilities as per the sector critical requirements and during the assessment period, the following community application letters for the current FY were sampled;</p> <ul style="list-style-type: none"> On 13th July,2019 the community of Omodo village in Akoboi sub county applied for the new Borehole and minutes for the meeting dated 28th February,2019 signed by ApioB,OpusJorem and Akiror Lucy were seen attached. On 17th July,2019 the community of Ogongora village in Katakwi sub county applied for the new Borehole and attendance list included OpinyJulius,ArosiateMery,AanyuGorety and Oluka Pius among others was signed by LC1 chairperson. On 21st August,2019 the community of Toroma Girls P/S in Toroma sub county applied for the rehabilitation of Borehole signed by LC1 chairperson. <p>It was also observed by the assessment team that the communities make capital contribute towards New borehole Drilling which was paid into the District General Fund Account and this was observed from the following sampled contributions;</p> <ul style="list-style-type: none"> On 1st November,2018 amount totallingUgx.100,000 was paid into the Fund by the community of Okibui Primary School receipt number 139. On 6th November,2018 amount equivalent to Ugx.200,000 was paid to the fund referenced:001171468589 	<p>1</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>During the assessment period the assessment team visited borehole sites and it was observed that all the sampled borehole sources were well fenced for instance;</p> <ul style="list-style-type: none"> Ooch source in Katakwi sub county Priscilla Girls S.S in Katakwi sub county Munyang source in Toroma sub county. <p>It was also observed by the assessment team that the communities also made contribution towards Borehole maintenance without receipts books but through paper writing and among the sampled payment were;</p> <p>On 1st August,2019 Ingelait Bosco, Agerimo Henerika, Ikwap Magret, Apio Hellen each paid Ugx.2000 towards maintenance of Atekwa Ariamareng Borehole.</p> <p>Though the LGs earn a point in this area, there was a need to include all borehole maintenance activities otherwise they just fenced the facility and the drainage system was a critical area (spread of diseases) if left unattended to.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>During the assessment period it was observed that environmental screening for all projects was conducted for all WSS projects on the following dates;</p> <ul style="list-style-type: none"> At Priscilla Girls S.S borehole in Toroma sub county screening was conducted on 5th March,2019 by the District natural resources officer Ogoe borehole in Katakwi sub county, environmental screening was conducted on 4th March,2019 by the District natural resources officer For Lia borehole in Palaam sub county environmental screening was conducted on 3rd February,2019 by the District natural resources officer For Munyanga borehole in Toroma sub county environmental screening was conducted on 3rd February,2019 by the District natural resources officer <p>For Abora sub county in Onganganja sub county screening was conducted on 10th February,2019 by the District natural resources officer</p>	<p>2</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>It was observed that Katakwi LG provided a follow up support in case of unacceptable environmental concerns in the water projects by issuing environmental certification for all the sites on the following dates;</p> <ul style="list-style-type: none"> Lia borehole in Katakwi sub county drilled by East Africa Borehole Ltd was issued on 4th March,2019 that is degrading trees, grass and borrow pits <p>Abosa borehole in Ongongoja drilled by East Africa Borehole was issued on 5th March,2019 that is degrading trees, grass and borrow pits</p> <p>Munyang borehole in Toroma sub county was issued on 6th March,2019 that is degrading trees, grass and borrow pits</p>	1
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>During the assessment period it was evidenced that construction and supervision contracts had clause on environmental protection example;</p> <p>From the project of borehole drilling B.O.Q bill number 5 which had no page number required the contractor to address environmental mainstreaming and Agro -forestry concerns,</p> <p>From the project of construction of 5 stance pit latrine B.O.Q bill number 6 Item a, required gender mainstreaming, planting of trees and grass</p>	1
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>Four files for the water user committee files were sampled and it was observed as following;</p> <ul style="list-style-type: none"> At Munyanga water source in Toroma sub county elected on 15th February,2019, consisted seven (7) members and three (3) were women and (4) men.. At Abosa water source in Ongongoja sub county elected on 20th November,2018, consisted six (6) members and two (2) were women and (4) men. At Priscilla Girls S.S water source in Katakwi sub county elected on 18th February,2019, consisted nine (9) members and four (4) were women and (5) men.. At Angolekit water source in Katkwi sub county elected on 20th February,2019, consisted nine (9) members and four (4) were women and (5) were men.. <p>It was established the 50% gender balance was not considered during the formation of the WSCs as per sampled project above</p>	0
<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>During the visit of the assessment team to the public sanitation facilities in Katakwi town council, it was observed that the facility had adequate access and separate stances for men, women and PWDs</p>	3